

Annual Review Meeting File Note

Client Name:

Present at the Meeting:

Date of Meeting:

Your Current Circumstances:

Goals & Objectives (use 'Interesting Questions' to probe):

Strategic Review:

Re-visiting Risk
Attitude to risk

Capacity for loss

Client Investment
Experience/Knowledge

Advise Better
Live Better

Investment Review:

Attitude to risk

Capacity for loss

Debts & Loans:

Taxation Update:

Availability /

Use of Allowances

Legislative changes

CGT considerations:

Family protection requirements:

IHT & Estate Planning:

Advise Better
Live Better

Family Issues:

Other:

Strategy;

Agreed Actions

Investments / Tax;

Agreed Actions

Other Action Points:

Compliance paperwork signed / updated?:

Report(s)/Suitability Required?:

Further meeting needed?:

Any timing considerations? :

Advise Better
Live Better